



## **Privacy and Security of Consumer Financial Information**

In order to assist our customers in meeting the requirements of the Gramm-Leach-Bliley Financial Modernization Act (the “GLBA”), THE BAKER GROUP, LP hereby sets out in writing our policy and practices regarding the privacy of information provided by our customers and their consumers. Until amended or superseded, this Policy constitutes our contractual commitment to our customers as follows:

THE BAKER GROUP, LP will not disclose, nor permit access to, the private information of a financial institution customer or its consumers for any purposes other than those specifically required to fulfill THE BAKER GROUP, LP contractual obligations with the customers. THE BAKER GROUP, LP will not sell the information regarding a customer or regarding a customer’s consumers for any reason.

In connection with the provision of services to a customer, THE BAKER GROUP, LP will take all commercially reasonable steps to ensure the security and confidentiality of customer and its consumer information and to protect against anticipated threats and hazards to the security of that information. THE BAKER GROUP, LP will take all commercially reasonable steps to prevent unauthorized access to or use of the information that would result in substantial harm or inconvenience to any customer or its consumers.

In the event any court or regulatory agency seeks to compel disclosure of this information THE BAKER GROUP, LP will, if legally permissible, promptly notify its customer of that attempt and will cooperate so that its customer may at its expense seek to legally prevent this disclosure of information.

With respect to our information gathering, storage and maintenance systems, THE BAKER GROUP, LP will upon request provide copies of applicable audit reports, summaries of test results and other equivalent evaluations with regard to security and confidentiality in connection with the services THE BAKER GROUP, LP provides to that customer. THE BAKER GROUP, LP may charge a standardized fee to respond to such requests.

If a breach in security results in an unauthorized intrusion into THE BAKER GROUP, LP information systems that directly and materially affects a customer of THE BAKER GROUP, LP or its consumers, THE BAKER GROUP, LP will within a reasonable time report on the intrusion and the information compromised to the affected customer and will subsequently report the corrective action taken in response.

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